

71531 U.S. PTO
05/01/97

Express Mail No.: EM 178 49421345

67094 U.S. PTO
08846996
05/01/97

PENNIE & EDMONDS LLP

COUNSELLORS AT LAW
1155 Avenue of the Americas
New York, N.Y. 10036-2711
(212) 790-9090

ATTORNEY DOCKET NO. 8142-124-999

Date May 1, 1997

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Alan Lewis Greener and Bruce Douglas Jerpseth Executed on: unexecuted

Title of Invention: HIGHLY TRANSFORMABLE BACTERIAL CELLS AND METHODS FOR PRODUCING THE SAME

Pages of Specification 31 plus 1 page Abstract

Sheets of Drawings 0

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	22	-20	2	\$22.00 each	44.00
Independent	2	-3	0	\$80.00 each	0.00
Minimum Fee					
Multiple Dependency Fee If Applicable (\$260.00)					
Total					
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					
Total Filing Fee					
\$ 537.00					

Respectfully submitted,


Albert P. Halluin
PENNIE & EDMONDS LLP

25,227

(Reg. No.)

Enclosure

This form is not for use with re-issue, design or plant patent applications.

HIGHLY TRANSFORMABLE BACTERIAL CELLS AND METHODS FOR
PRODUCING THE SAME

5 1.0. Technical Field of the Invention

The present invention generally relates to the field of recombinant DNA technology. More specifically, the invention describes a novel bacterial mutation characterized by an ability to confer a high efficiency of transformation 10 phenotype, and methods for producing highly competent cells using bacteria comprising the novel mutation.

2.0. Background of the Invention

The process of introducing DNA (and other similar 15 polynucleotides) into host cells is a key aspect of recombinant DNA technology. The process by which polynucleotides are introduced into host cells is called transformation. Bacterial cells generally remain the preferred hosts for the majority of recombinant DNA 20 experiments and genetic engineering manipulations. Of particular interest for genetic engineering experiments is the bacteria *Escherichia coli*. Given that "competence" (the ability to efficiently uptake exogenous DNA) is not a natural feature of the *E. coli* growth cycle, artificial procedures 25 must be used to introduce exogenous polynucleotides into *E. coli*. Of particular interest, are a variety of competency inducing procedures that render bacteria, including *E. coli*, more permeable to exogenous nucleic acid. Bacterial cells that have been treated to enhance their permeability to 30 polynucleotides are generally referred to as competent cells.

There are many established procedures for making competent cells. These procedures include the CaCl_2 incubation methods of Mandel and Higa, J. of Mol. Biol. 35:159 (1970), as well as numerous well-known variants thereof. Hanahan has made a detailed study of factors that effect the efficiency of transformation of *E. coli* cells (J. Mol. Biol. 166:557-580 (1983)) where he describes a method of

767050-96694830

producing highly competent *E. coli* cells comprising the step of washing *E. coli* cells in a buffer comprising potassium acetate, KCl, MnCl₂, CaCl₂, and hexamine cobalt chloride, which is generally regarded as the best available method of 5 producing highly competent *E. coli*. Another method of producing competent *E. coli* cells is described by Jessee et al., U.S. Patent 4,981,797. Jessee et al. shows that high levels of competency may be induced by growing *E. coli* cells in a temperature range of 18° C to 32° C as part of the 10 competency inducing procedure.

The various techniques for rendering *E. coli* cells competent produce competent *E. coli* cells having varying of transformation efficiencies. The precise mechanism by which DNA enters competent *E. coli* is not completely understood. 15 Nor is it completely understood why one composition of competent *E. coli* cells differs in transformation efficiency from that of another composition of competent *E. coli* cells. Hanahan, in Escherichia Coli and Salmonella Typhimurium: Cellular and Molecular Biology, editor F.C. Neidhardt, 20 American Society for Microbiology, Washington, D.C. (1987).

The above methods have been further optimized to achieve efficiencies of approximately 1×10^9 cfu/ μ g supercoiled plasmid DNA. Although this number appears high, the theoretical efficiency for the test plasmid (pUC) is 3×10^{11} 25 cfu/ μ g. Furthermore, when applied to practical laboratory conditions, such as the transformation of DNA substrates that were ligated rather than supercoiled, the actual number of colony forming units observed was many orders of magnitude lower than that achieved when supercoiled pUC was used as a 30 test substrate.

Even with past developments, only a minute fraction of the cells in a preparation of "competent" *E. coli* cells, are actually competent for DNA uptake. Thus, the methods and cells presently used to generate compositions of competent *E. coli* cells may yet be significantly improved. 35

Alternatively, other methods of producing competent cells may result in the formation of competent *E. coli* cells that

each have an enhanced ability to replicate and expresses exogenously added DNA. Hanahan, in J. Mol. Bio. 166:557-580 (1983) has speculated that competent *E. coli* cells contain channels for transport of DNA across the cell envelope, and 5 that the limiting step in determining the competency for transformation of *E. coli* cells are events that occur in the cell after the cell has taken up the DNA of interest, i.e., the establishment step. Another factor affecting the transformation efficiency of a composition of competent *E. coli* cells is the genotype of the cells. Some strains of *E. coli* are known to produce more highly transformable competent 10 cell compositions than other strains of *E. coli* that have been subjected to the same competency inducing procedure.

Subsequent to the initial discovery that *E. coli* could be 15 rendered competent for DNA uptake, studies have been undertaken to increase transformation efficiency. The maximum level of transformation efficiency obtained using the method of Hanahan described in J. Mol. Bio. 166:557-580 (1987), which employs the step of washing cells in a buffer 20 comprising potassium acetate, KCl, MnCl₂, CaCl₂, glycerol, and hexamine cobalt chloride, is approximately 1×10^9 transformants per microgram of supercoiled pUC18 plasmid DNA. On a per cell basis, this translates to approximately 1 cell out of 300 in the population actually becoming transformed. 25 However, the above number generally only applies to small supercoiled plasmids. When large plasmids, or ligated molecules are involved, as is the case with many recombinant DNA experiments, the number of "competant" cells that actually become transformed is dramatically reduced. As 30 such, a need continues to exist for new and improved methods for producing competent *E. coli* of superior transformability, as well as new strains of *E. coli* that demonstrate superior transformability. Such methods and strains would be of wide interest to most researchers in the field of genetic 35 engineering in that the number of transformations required to obtain the desired result would be minimized. Thus, for example, larger genetic libraries could be built more easily

as well as the construction of complex recombinant molecules achieved more readily.

3.0. Summary of the Invention

5 The invention described herein provides a method of producing novel strains of highly transformable gram negative bacterial cells such as *E. coli* that may be used in a wide variety of competency inducing procedures. The methods of the subject invention involve methods of mutagenizing 10 bacterial cells, selecting the mutagenized cells for a high efficiency transformation phenotype, and using the mutated genetic material responsible for (or associated with) high efficiency transformation to construct novel compositions of highly competent bacteria.

15 One embodiment of the present invention is a biologically pure strain of *E. coli* which is characterized as comprising an *Hte* mutation that confers a high efficiency of transformation (of foreign plasmids) phenotype relative to *E. coli* that lack an *Hte* mutation.

20 Another embodiment of the subject invention is the novel strain of *E. coli* XL10-GOLD, having the genotype $\Delta(mcrA)183\Delta(mcrCB-hsdSMR-mrr)173endA1 supE44 thi-1 recA1 gyrA96 relA1 lac tet^R Hte[F'proAB lacI^QZAM15 Tn10(Tet^R) Amy Cam^R]$. The invention further relates to frozen compositions 25 of such cells, and methods for making the cells competent.

An additional embodiment of the present invention is the use of cells harboring an *Hte* mutation to clone or subclone heterologous genetic material of interest.

30 4.0. Detailed Description of the Invention

The presently described invention includes gram negative bacterial cells, such as *E. coli*, that have been genetically modified to have enhanced transformation efficiency after being processed by an appropriate competency inducing procedure when compared to *E. coli* cells lacking the genetic modification. It has previously been shown that 35 microorganisms bearing the proper genotype can display

drastically enhanced transformation efficiency. In particular, U.S. Patent No. 5,512,468, herein incorporated by reference, describes how the presence of the α -amylase gene in *E. coli* increases transformation efficiency.

5 The bacterial cells of the subject invention have been modified by the incorporation of a mutated *Hte* region. For the purposes of the present invention, the *Hte* region is characterized as a region which confers a high efficiency of transformation phenotype to cells harboring mutated forms of 10 the *Hte* region. For the purposes of the present disclosure, the term "incorporation" as used herein shall mean the insertion of a mutated variant of the *Hte* region into the bacterial genome by homologous or nonhomologous recombination, or the episomal presence of a mutant variant of the *Hte* 15 region. Similarly, an *Hte* mutant is defined as a mutated form of the *Hte* locus that confers a high efficiency of transformation phenotype to a bacterial host harboring the *Hte* mutation.

Preferably, bacterial hosts harboring mutated variants of 20 the *Hte* region shall be biologically pure. For the purposes of the present invention, a "biologically pure" strain of bacteria shall be derived from a single cell, or shall be at least about 99.9 percent comprised of cells of directly common ancestry.

25 The *Hte* region was identified by screening/selecting mutagenized *E. coli* for an enhanced transformation efficiency phenotype. In particular, a series of transformation steps were used to screen mixed populations of bacterial mutants to selectively enrich the population of 30 "competent" cells. The selective feature of the transformation screening method stemmed from the use of a limiting amount of DNA. Limiting the amount of DNA used during transformation unexpectedly allowed for the enrichment and identification of cells having an increased 35 transformation efficiency after antibiotic selection was applied. Previous studies had indicated that essentially all of the cells in a given culture bind exogenously added DNA,

but only the small fraction of cells that are actually "competent" for DNA uptake are transformed. Given these reaction kinetics, one would not expect that limiting the amount of DNA added would allow for the efficient selection 5 for cells bearing enhanced transformation efficiency.

Generally, a "limiting" amount of DNA describes a situation where the molar ratio of DNA/cells is less than 1, typically less than about .5, more typically less than about 0.1, and specifically less than about 0.05, and preferably about

10 0.001.

Multiple rounds (at least two rounds up to any practical number) of selection by transformation may be employed to more stringently select for cells having the property of being capable of high efficiency transformation. Preferably, 15 succeeding rounds of selection by transformation will proceed using a series of different vectors and selectable markers. Where applicable, the different vectors used during the multiple rounds of selection by transformation shall preferably and respectively comprise origins of replication

20 drawn from different plasmid incompatibility groups.

Additionally, although it is not necessary, the various transformants obtained after a given round of selection may be individually or collectively recovered prior to the next round of selection by transformation.

25 After bacteria displaying the desired high efficiency of transformation phenotype were identified, a transposon library was generated, and generalized P1 transduction was used to move the mutated *Hte* region into suitable recipient strains. The resulting "*Hte*⁻" bacteria displayed enhanced 30 transformation efficiency relative to cells that did not incorporate the *Hte* region, and a particularly enhanced transformation efficiency for relaxed plasmids and large plasmids. For the purposes of the present invention, the term "transformation efficiency" refers to a measure of the 35 competence level of a given composition of competent cells. Transformation efficiency is expressed in terms of the number of transformants obtained for each microgram (or other

quantity) of exogenous DNA added to a competent cell composition.

Typically, the presence of the *Hte* region will functionally increase the transformation efficiency of a 5 given bacteria by at least about two fold, more typically at least about four fold, and preferably by at least about one order of magnitude. Of particular interest is that the presence of the *Hte* region enhances the transformation efficiencies of large plasmids and topologically relaxed 10 plasmids (plasmids that are neither substantially supercoiled nor underwound). For the purposes of the present invention, the term "large plasmid" shall typically refer to plasmids at least about 15 kb in size, preferably at least about 25 kb up to about 100 kb. For the purposes of the present invention, 15 the presence of a mutant variant of the *Hte* region in a given bacteria will typically increase the transformation efficiency for large and/or relaxed plasmids of by at least about two fold, more typically at least about four fold, preferably by at least about six to eight fold, and more 20 preferably by at least about one to two orders of magnitude.

A specific embodiment of the subject invention is the novel strain of *E. coli* XL10-GOLD. On April 28, 1997, strain XL10-GOLD was deposited at the American Type Culture Collection (ATCC), Rockville, MD, USA, under the terms of the 25 Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure and Regulations thereunder (Budapest Treaty), and is thus maintained and made available according to the terms of the Budapest Treaty. Availability of such strain is not 30 to be construed as a license to practice the invention in contravention of the rights granted under the authority of any government in accordance with its patent laws.

The deposited culture has been assigned the indicated ATCC deposit number:

35

XL10-GOLD

ATCC No.

55962

Other aspects of the invention include methods for rendering gram negative bacteria, such as *E. coli* cells competent for transformation. These methods minimally involve the step of transferring a polynucleotide encoding a 5 suitably mutated variant of *Hte* region into *E. coli*. Such modified cells may subsequently be rendered competent using any of a wide variety of competency inducing procedures. For the purposes of the present invention, the term "competency inducing procedure" refers to any procedure used to render *E. coli* cells 10 competent to transformation by exogenous DNA. Competency inducing procedures for *E. coli* (and other gram negative bacteria) are well known to the person of average skill in the art of molecular biology. Although competency inducing techniques vary considerably from one another, 15 almost all competency inducing techniques involve the exposure of the cell to multivalent cations and near 0° C. Competency inducing techniques for use in the subject invention include, but are not limited to, the CaCl_2 incubation method of Mandel and Higa (*J. Mol. Bio.*, 53:159 20 (1970)), and the method of Hanahan, *J. Mol. Bio.*, 166:557-580 (1983) which employs treating the cells in a series of buffers comprising potassium acetate, KCl , MnCl_2 , CaCl_2 , glycerol, and hexamine cobalt chloride. The method of Hanahan is particularly preferred for use in the subject 25 invention. In addition to or in lieu of the buffers taught by Hanahan, buffers comprising rubidium chloride may also be used. Additional teaching may be found in, among other places, U.S. Patent 4,981,797 (Jessee) and Sambrook et al., Molecular Cloning: a Laboratory Manual, 2nd Edition, Cold 30 Spring Harbor Press (1989), and periodic updates thereof, and Hanahan and Bloom, in Escherichia Coli and Salmonella Typhimurium: Cellular and Molecular Biology, "Mechanisms of DNA Transformation", editor F.C. Neidhardt, American Society for Microbiology, Washington, D.C. (1996) which are herein 35 incorporated by reference.

The competent cells of the subject invention may be transformed using most well known transformation procedures.

These procedures typically involve the step of exposing competent cells to a heat pulse in the presence of exogenous DNA. Examples of such transformation procedures can be found in Mandel and Higa, J. of Mol. Biol. 53:159 (1970) and the 5 standard high competency induction method described by Hanahan (1983) J. Mol. Bio. 166:557-580 (1983).

The genetic constructs used to introduce the *Hte* region into a bacterial host may be designed to either replicate autonomously in the bacterial cell or to be incorporated into 10 the genome of the bacterial cell. Preferably, genetic constructs encoding the *Hte* region are designed to provide for the stable maintenance of the *Hte* region within the host cell. Additionally, where the genetic construct replicates autonomously, the construct should not comprise an origin of 15 replication, e.g. a *colE1* replicon, that results in plasmid incompatibility with widely used bacterial vectors.

In addition to polynucleotide sequence encoding the *Hte* region, the genetic construct may also comprise any one of a number of conventional genetic vectors such as plasmids, 20 phages, phagemids, and the like.

Preferably, the genetic constructs will express the essential genes encoded within the *Hte* region. Methods for expressing genes of interest in *E. coli* and other gram negative bacteria are well known. For examples of such 25 methods see Gene Expression Technology: Methods and Enzymology, Vol. 185, Goeddel, Editor, Academic Press, Incorporated, San Diego, California (1991).

Genetic constructs containing polynucleotides encoding the *Hte* region may be introduced into *E. coli* cell using any 30 of a wide variety of transformation techniques including transformation, conjugation, triparental mating, specialized or generalized phage transduction, electroporation, and the like. The competent *E. coli* cells of the subject invention are produced when the described *Hte* cells are subject to a 35 competency inducing procedure. After the *E. coli* cells have been rendered competent by a competency inducing procedure, the cells may be frozen so as to retain their

competence upon thawing. Frozen competent cells are a particularly useful embodiment of the invention because they may be stored for prolonged periods of time, thus avoiding the need to constantly produce fresh preparations of 5 competent cells. Protocols for preparing frozen competent cells are known to the person of average skill in the art. An example of such a protocol can be found in Hanahan, J. Mol. Bio. 166:557-580 (1983).

It is also to be appreciated that the measured 10 transformation efficiency of a given composition of competent cells using a given transformation protocol will generally vary depending upon the particular exogenous DNA used to transform the bacteria. In particular, factors such as the size and topology of the exogenous DNA may significantly 15 affect transformation efficiency.

The introduction of a genetic construct encoding the *Hte* region increases the transformation efficiency of compositions of a wide variety of *E. coli* strains.

Typically, the genotype of a given strain of *E. coli* 20 containing the *Hte* region, may be selected to be particularly useful for a given genetic engineering experiment.

Given the presently described selection process, phenotypic screening methods, and the deposited strains of *E. coli*, a variety of genetic and molecular biological methods 25 may be employed to further define the structure of the *Hte* region and the specific mutation or mutations responsible for the high efficiency transformation phenotype. Examples of such methods have been described in Maniatis, T. et al., Molecular Cloning, (1st Ed.) and Sambrook, J. et al., (2nd 30 Ed.), Cold Spring Harbor Laboratory, Cold Spring Harbor (1982, 1989); Methods in Enzymol., Vols. 68, 100, 101, 118 and 152-155 (1979, 1983, 1986 and 1987); and Molecular Cloning, D.M. Glover, Ed., IRL Press, Oxford (1985). Medium compositions and general microbial genetic techniques have 35 been described in Miller, J.H., Experiments in Molecular Genetics, Cold Spring Harbor Laboratory, New York (1972), and Miller, J.H., A Short Course in Bacterial Genetics, Cold

Spring Harbor Press, New York (1995), herein incorporated by reference, as well as the references previously identified. DNA manipulations and enzyme treatments are carried out in accordance with manufacturers' recommended procedures.

5 Once the specific gene or genes (in the case of an operon) in the Hte region that are responsible for the high efficiency transformation phenotype are characterized, the encoded products that are associated with the high efficiency transformation phenotype may also be characterized.

10 Accordingly, an additional embodiment of the present invention are Hte proteins, or functional derivatives thereof, that are associated with the high efficiency transformation phenotype as identified by the presently described selection methods and screening methods.

15 The term "Hte protein" as used herein refers not only to proteins having the amino acid residue sequence of the mutated form of the Hte protein that provides the desired phenotype, but also refers to functional derivatives and variants of naturally occurring Hte protein.

20 A "functional derivative" of a Hte protein is a compound having a qualitative biological activity in common with Hte protein. Preferably, "functional derivatives" include, but are not limited to, fragments of mutant or native Hte proteins and derivatives of Hte proteins and their fragments,

25 provided that they are associated with conferring the desired phenotype. "Fragments" comprise regions within the sequence of a mature polypeptide. The term "derivative" is used to define amino acid sequence variants of a Hte protein, and the term "variant" also refers to amino acid sequence and

30 variants within this definition.

Preferably, the functional derivatives are polypeptides which have at least about 65% amino acid sequence identity, more preferably about 75% amino acid sequence identify, even more preferably at least 85% amino acid sequence identity, 35 most preferably at least about 95% amino acid sequence identity with the corresponding region of a corresponding Hte protein or polypeptide. Most preferably, the functional

derivatives of a Hte protein retain or mimic the region or regions within the Hte protein that are directly responsible for conferring the high transformation efficiency phenotype.

Functional derivatives of Hte protein also include
5 chemically modified or derivatized molecules derived from Hte
protein.

The phrase "functional derivative" further and specifically includes peptides and small organic molecules having a qualitative biological activity in common with Hte 10 protein.

"Identity" or "homology" with respect to a Hte protein is defined herein as the percentage of amino acid residues in the candidate sequence that are identical to the corresponding residues of a native Hte polypeptide, after aligning the sequences and introducing gaps, if necessary, to achieve the maximum percent homology, and not considering any conservative substitutions as part of the sequence identity. Neither N- or C-terminal extensions nor insertions shall be construed as reducing identity or homology. Methods and computer programs for the alignment are well known in the art.

Once a gene encoding a Hte protein, or functional derivative thereof, has been identified and cloned, amino acid sequence variants of Hte protein, or functional fragments thereof, are prepared by methods known in the art by introducing appropriate nucleotide changes into a native Hte DNA sequence, or by in vitro synthesis of the desired polypeptide. There are two principal variables in the construction of amino acid sequence variants: the location of the mutation site and the nature of the mutation. The amino acid sequence variants of Hte protein are preferably constructed by mutating the Hte gene to generate corresponding Hte amino acid sequence variants that do not occur in nature.

35 Such mutants may be engineered, for example, as frame-shift mutations that result in an altered reading frame and early termination of translation to produce a truncated Hte

6884956.7
molecule. Similarly, in-frame deletions may be made in the Hte gene that effectively result in the removal of discrete portions of the Hte protein. Such amino acid sequence deletions generally range from about 1 to 30 residues, more 5 preferably about 1 to 10 residues, and are typically, but not necessarily, contiguous. Deletions are generally introduced in regions that are not directly involved in ligand binding.

Alternatively or in addition, amino acid alterations can be made at sites that differ in Hte proteins obtained from 10 other bacterial species, or in highly conserved regions, depending on the goal to be achieved.

Sites at such locations will typically be modified in series, e.g. by (1) substituting first with conservative choices and then with more radical selections depending upon 15 the results achieved, (2) deleting the target residue or residues, or (3) inserting residues of the same or different class adjacent to the located site, or combinations of options 1-3.

One helpful technique is called "alanine scanning" 20 Cunningham and Wells, Science 244, 1081-1085 (1989). Here, a residue or group of target residues is identified and substituted by alanine or polyalanine. Those domains demonstrating functional sensitivity to the alanine substitutions are then refined by introducing further or 25 other substituents at or for the sites of alanine substitution.

After identifying the desired mutation(s), the gene encoding a Hte protein variant can, for example, be obtained by chemical synthesis.

30 More preferably, DNA encoding a Hte protein amino acid sequence variant is prepared by site-directed mutagenesis of DNA that encodes an earlier prepared variant or a nonvariant version of the Hte protein. Site-directed (site-specific) mutagenesis allows for the production of Hte protein variants 35 through the use of specific oligonucleotide sequences that encode the DNA sequence of the desired mutation, as well as a sufficient number of adjacent nucleotides, to provide a

primer sequence of sufficient size and sequence complexity to form a stable duplex on both sides of the deletion junction being traversed. Typically, a primer of about 20 to 50 nucleotides in length is preferred, with at least about 5 to 10 residues on both sides of the junction of the sequence being altered. In general, the techniques of site-specific mutagenesis are well known in the art, as exemplified by publications such as, Edelman et al., DNA 2:183 (1983). As will be appreciated, the site-specific mutagenesis technique typically employs a phage vector that exists in both a single-stranded and double-stranded form. Typical vectors useful in site-directed mutagenesis include vectors such as the M13 phage. This and other phage vectors are commercially available and their use is well known to those skilled in the art. A versatile and efficient procedure for the construction of oligodeoxyribonucleotide directed site-specific mutations in DNA fragments using M13-derived vectors was published by Zoller, M.J. and Smith, M., Nucleic Acids Res. 10, 6487-6500, 1982. Also, plasmid vectors that contain a single-stranded phage origin of replication, Veira et al., Meth. Enzymol. 153:3 (1987) may be employed to obtain single-stranded DNA. Alternatively, nucleotide substitutions are introduced by synthesizing the appropriate DNA fragment *in vitro*, and amplifying it by PCR procedures known in the art.

25 In general, site-specific mutagenesis may be performed by first obtaining a single-stranded vector that includes within its sequence a DNA sequence that encodes the relevant protein. An oligonucleotide primer bearing the desired 30 mutated sequence is prepared, generally synthetically, for example, by the method of Crea et al., Proc. Natl. Acad. Sci. USA 75, 5765 (1978). This primer is then annealed with the single-stranded protein sequence-containing vector, and subjected to DNA-polymerizing enzymes such as, *E. coli* 35 polymerase I Klenow fragment, to complete the synthesis of the mutation-bearing strand. Thus, a heteroduplex is formed wherein one strand encodes the original non-mutated sequence

and the second strand bears the desired mutation. This heteroduplex vector is then used to transform appropriate host cells such as HB101 cells, and clones are selected that include recombinant vectors bearing the mutated sequence 5 arrangement. Thereafter, the mutated region may be removed and placed in an appropriate expression vector for protein production.

The PCR technique may also be used in creating amino acid sequence variants of Hte protein. When small amounts of 10 template DNA are used as starting material in a PCR, primers that differ slightly in sequence from the corresponding region in a template DNA can be used to generate relatively large quantities of a specific DNA fragment that differs from the template sequence only at the positions where the primers 15 differ from the template. For introduction of a mutation into a plasmid DNA, one of the primers is designed to overlap the position of the mutation and to contain the mutation; the sequence of the other primer must be identical to a stretch of sequence of the opposite strand of the plasmid, but this 20 sequence can be located anywhere along the plasmid DNA. It is preferred, however, that the sequence of the second primer is located within 200 nucleotides from that of the first, such that in the end the entire amplified region of DNA bounded by the primer can be easily sequenced. PCR 25 amplification using a primer pair like the one just described results in a population of DNA fragments that differ at the position of the mutation specified by the primer, and possibly at other positions, as template copying is somewhat error-prone.

30 Further details of the foregoing and similar mutagenesis techniques are found in general textbooks, such as, for example, Sambrook et al., Molecular Cloning: A Laboratory Manual 2nd edition, Cold Spring Harbor Press, Cold Spring Harbor (1989), and Current Protocols in Molecular Biology, 35 Ausubel et al. eds., John Wiley and Sons (1995).

Naturally-occurring amino acids may be divided into groups based on common side chain properties:

038843696 0304197

- (1) hydrophobic: norleucine, met, ala, val, leu, ile;
- (2) neutral hydrophobic: cys, ser, thr;
- (3) acidic: asp, glu;
- (4) basic: asn, gln, his, lys, arg;
- 5 (5) residues that influence chain orientation: gly, pro; and
- (6) aromatic: trp, tyr, phe.

10 Conservative substitutions involve exchanging a member within one group for another member within the same group, whereas non-conservative substitutions will entail exchanging a member of one of these classes for another (see generally Orcutt, B.C. and Dayhoff, M.O., Scoring Matrices, PIN Report MAT-0285, February 1985). Variants obtained by non-conservative substitutions are expected to result in significant changes in the biological properties/function of 15 the obtained variant, and may result in Hte protein variants that block normal Hte function. Amino acid positions that are conserved among various species are generally substituted in a relatively conservative manner if the goal is to retain biological function.

20 Amino acid insertions include amino- and/or carboxyl-terminal fusions ranging in length from one residue to polypeptides containing a hundred or more residues, as well as intrasequence insertions of single or multiple amino acid residues. Intrasequence insertions (i.e. insertions within 25 the Hte coding region) may range generally from about 1 to 10 residues, more preferably 1 to 5 residues, more preferably 1 to 3 residues. Examples of terminal insertions include variant Hte proteins that fuse a heterologous N-terminal signal sequence to the N-terminus of the Hte protein to 30 provide for directed cellular localization or secretion of the Hte protein from recombinant host cells. Such signal sequences will generally be obtained from, and thus homologous to, the intended host cell species. Suitable sequences include STII or Ipp for *E. coli*. Other insertional 35 variants of the Hte protein include C-terminal fusions. Additionally, further variants of the Hte protein may be obtained via chemical modification of the constituent amino

acids, or the incorporation of unnatural amino acids or amino acids of nonbiological origin.

Since it is often difficult to predict in advance the characteristics of a particular variant Hte protein, it will be appreciated that screening will be needed to select the optimum variant. For this purpose genetic screening assays, such as those described hereinbelow, will prove invaluable.

Given that altered transformation efficiency has been associated with altered cell envelopes (e.g., altered LPS in the outer membrane, and altered membrane fluidity), it is also possible that the desired phenotype provided by the *Hte* region is related to a structural anomaly caused by a disabled or functionally inactive cellular protein. For example, mutagenesis of the *Hte* gene may have resulted in generation of a stop codon in a key coding region by one or more transition or transversion mutations. Additionally, a frame-shift mutation may have disrupted a native open reading frame to produce the desired high efficiency transformation phenotype. Where such a mutation is involved, a particularly preferred method of generating the desired phenotype is to delete all or a substantial portion of the mutated gene. Optimally, at least an essential portion of the *Hte* gene will be deleted, or a suitable frame-shift mutation may be generated by deleting a number of bases not divisible by the number three. By deleting the mutated gene, the chances of reversion are dramatically reduced.

Optionally, the *Hte* gene may be inactivated by insertional mutagenesis by inserting a selectable marker into or near the *Hte* coding region. Accordingly, the stable 30 maintenance of the *Hte* mutation may be ensured by providing the proper antibiotic selection techniques. Inactivation of the *Hte* locus by deletion or insertional activation is also preferred where the *Hte* gene encodes a repressor or activator molecule for a gene or operon where the resulting 35 constitutive expression (or inactivation) of the gene or operon contributes to the *Hte* phenotype.

It is also possible that the presently described *Hte* mutation results in the enhanced expression or over expression of a gene product or operon. Conversely, it is also possible that mutating the *Hte* region results in the 5 under expression of a particular gene product or operon.

Additional embodiments of the present invention are compounds that inhibit or enhance the normal function of *Hte* protein such that the microorganisms that express or have been exposed to or treated with such compounds exhibit 10 enhanced transformation efficiency, or the *Hte* phenotype.

The presently described *Hte*⁺ competent cells may also be combined with other desired genetic markers. For example, the relevant *Hte*⁺ region may be moved into a bacteria engineered to contain and express a gene encoding a carbohydrate 15 degrading enzyme or a functional derivative thereof.

Preferably, the carbohydrate degrading enzyme is capable of degrading starch. The expressed enzyme is preferably located in the periplasmic space of the cell; however, the practice of the invention is not dependent upon any particular theory 20 as to the cellular location of expressed carbohydrate degrading enzymes.

Additionally, the *Hte* region may be used in conjunction with cloning vectors that may be screened using *LacZ* α fragment complementation in conjunction with a particular 25 mutation within the *LacZ* gene. Similarly, the cell may contain various other deletions or mutations in order to provide for complementation by the transforming DNA. The host cell may either possess or lack a restriction-modification system in order to expedite cloning. The host 30 cells may also lack one or more recombination systems, e.g., *RecA*, *RecBC*. Particularly preferred strains of *E. coli* for use in the invention are the *XL1-Blue*TM strain (Stratagene, La Jolla, California), the *XL1-Blue* MR strain, and the *SURE*TM strain (Stratagene, La Jolla, California) that have been 35 modified by the addition of a genetic construction for the expression of alpha-amylase isolated from a thermophilic bacteria and have the ATCC accession numbers 69480, 69481 and

69482, respectively. The plasmid containing the alpha-
amylase gene in the *E. coli* strains having ATCC accession
numbers 69480, 69481 and 69482 may be readily transferred to
other strains of bacteria using techniques well known to the
5 person of average skill in the art. Similarly, the person of
average skill in the art may excise the alpha amylase gene
from plasmids in the *E. coli* strains having accession numbers
69480, 69481 and 69482 and transfer the alpha amylase gene to
a new genetic construct prior to transferring the gene to a
10 new strain of bacteria.

While reference is made to *E. coli*, other gram negative bacteria cells may also be rendered more competent by the introduction of the *Hte* region. For example, bacteria from the Genera *Pseudomonas*, *Rhizobium*, *Agrobacterium*, *Salmonella*,
15 *Proteus*, *Shigella*, *Klebsiella* and the like.

Although specific examples of mutagenic techniques have been described herein, one skilled in the relevant art is deemed capable of practicing any of a wide variety mutagenic techniques to practice the invention described in the present disclosure.

Given the enhanced transformation efficiency of *Hte* mutants, the presently described cells are particularly useful for the cloning and subcloning of heterologous genetic material of interest. Typically, such genetic material includes procaryotic genes of interest, or animal, and particularly mammalian, genes or cDNA. Optionally, the genetic material of interest will exist in a genomic or cDNA library. Additionally, given the enhanced transformation efficiency of large plasmids, *Hte* mutants are also particularly useful in the cloning, subcloning, and engineering of genetic material of interest involving large plasmids such as yeast shuttle vectors, mammalian virus vectors (retrovirus, adenovirus, papilloma virus, herpes virus, adeno-associated virus, rabies virus, and the like. See generally, Sambrook et al., Molecular Cloning, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York,

Vol. 3:16.1-16.89 (1989)), plant virus vectors, or insect vectors (e.g., baculo virus).

The invention having been described above, may be better understood by reference to the following examples. These 5 examples are offered solely for the purpose of illustrating the subject invention, and should not be interpreted as limiting the invention in any way whatsoever.

5.0. EXAMPLES

10 5.1. Mutagenesis of the *E. coli* Chromosome.

Mutagenesis of the *E. coli* chromosome was performed by standard procedures adapted from Miller, J., (1995), A short Course in Bacterial Genetics. Cold Spring Harbor Press, Cold Spring Harbor, NY. A 50 ml logarithmically 15 growing culture of *E. coli* strain CAG1001 (from C. Gross, U. Wisconsin) was pelleted at 4000 rpm in a Sorvall centrifuge, washed once with MC buffer (100 mM MgSO₄, 5 mM CaCl₂, and suspended in 40 ml of MC. 5 ml was aliquotted to each of 6 sterile Petri dishes. Five of these samples were treated 20 with ultraviolet light of varying intensities in the Stratagene Stratalinker 2400 (Catalog #400075) as follows. The cover of the dish was removed and laid alongside the cells and exposed to either 100uJ, 200uJ, 300uJ, 400uJ, or 600uJ; the sixth sample was not treated. Prior to exposure, 25 5 μ l of each aliquot was removed, diluted 10⁻⁴, and 100 μ l were plated on an LB plate to determine cell viability. After exposure, the cells were pipetted back into a 50 ml conical test tube and 1.2 ml of 5X LB added. The mutagenized (and control) samples were incubated for 1 hour and 5 μ l were 30 removed for viability plating. 100 μ l of 10², 10⁻³, and 10⁻⁴ dilutions were then plated on LB plates while the culture was permitted to grow overnight.

The following morning, the number of colonies on each plate were determined and compared to their nonmutagenized 35 counterparts. UV intensities that resulted in 95%-99% cell death were selected as being appropriate; the overnight cultures corresponding to these samples were pooled, DMSO to

8% final concentration added, and the cells were stored at - 80°C.

In order to retrieve an *E. coli* mutant that exhibited an increased transformation efficiency for both large and small 5 plasmid molecules, the U.V. survivors described above were pooled, grown to mid-logarithmic phase, and competent cells were prepared by standard procedures. These cells were then transformed with a limiting amount of pUC DNA (1 pg) and ampicillin resistant transformants selected. The rationale 10 for this screening was that if fewer DNA molecules than cells were added to the transformation, any specific cell that was more "transformable" would have a higher likelihood of incorporating the pUC DNA molecule. *E. coli* CAG1001 was used as a starting strain because it carried no known

15 mutations and was *supO* (no known suppressor tRNA activity).

In addition, it transformed poorly when compared with strains like XL1-Blue/DH5 α (unpublished observations). Therefore, since the background transformation efficiency was lower, any mutant possessing the desired characteristic might be more 20 apparent. From this experiment, 1,500 transformants were obtained from each pool. It was presumed that among these cells would be mutants that had a higher transformation efficiency (along with the majority that were unchanged but transformed by statistical probability). These transformants 25 were then pooled, grown to mid-logarithmic phase, and competent cells were again prepared. These pools were then transformed with 1 ng of pQL1, a pACYC 184 derivative (Quinn Lu, unpublished) that can be stably maintained along with pUC, and carries a different antibiotic selectable marker 30 (chloramphenicol). Plates with about 200 transformants were selected and saved. As a method for enriching the number of mutants of interest, the number of transformants/pool was reduced. By using this number, it was recognized that each pool may contain as few as a single mutant that actually had 35 an enriched transformation phenotype. Analysis of these 200 colonies was then conducted by randomly choosing 90 of the colonies and preparing small-scale preparations of competent

cells. The 90 were transformed with pAL205, a RSF1010 derivative that is relatively large (15 kb), tetracycline resistant, and whose origin of replication is compatible with those of pUC and pACYC184. Of these 90, the 15 that provided 5 the greatest number of transformants (actual efficiencies were not determined since the cell density and other factors normally controlled for were not because so many were analyzed concurrently) were chosen for further analysis.

Competent cells from these 15 strains were then prepared 10 by the standard procedure with monitoring of optical density and various other parameters. Four of the strains exhibited a significant increase in transformation efficiency and these four represented the next pool for mutant retrieval (numbers 7, 12, 33, and 59).

15

5.2. Preparation of Competent Cells for enrichment and evaluation.

Competent cells of either pools of potential mutants or isolated mutants (see below) were prepared identically. 20 The appropriate volume of cells (10 ml-250 ml, depending on experiment) were grown in LB media to an optical density (O.D. ₆₀₀) of approximately 0.35. The cells were transferred to the appropriate centrifuge tube (for the 10 ml cultures that were grown in a 50 ml conical test tube, no transfer was necessary) and pelleted at 1,400 rpm at 4°C. The pellet was 25 suspended in one half of its initial volume in FSB (10 mM potassium acetate pH 7.6, 45 mM manganese chloride, 10 mM calcium chloride, 3 mM hexamine cobalt chloride, and 8 percent glycerol) and centrifuged as before. The cells were 30 then suspended in 1/20 the original volume of FSB, DMSO was added to a final concentration of 8%, and the competent cell preparation was frozen at -80°C. When small volume competent cell preparations were made for immediate evaluation, the DMSO addition and freezing steps were bypassed and 100 μ l of 35 the cell suspension tested immediately.

Transformation of competent cells was done using standard conditions (see Stratagene Competent Cell Manual for

details). β -mercaptoethanol or UltraBeta, as described in these manuals, was either added or withheld, depending on the application (See text for details).

5.3. λ ::Tn10 Mutagenesis.

Random insertion of the transposon Tn10 (tetracycline resistance) was performed as described by Kleckner et al., 1991, Methods in Enzymology 204:139. λ :1098 (Tn10) is a defective λ virus that is unable to replicate in 10 or lysogenize a *supO* *E. coli* host due to amber mutations present in the λ O gene (DNA replication) and int gene (lysogenization). Thus, upon entry into a *supO* *E. coli*, these phage are incapable of further propagation, but remain capable of expressing the encoded genes. This phage carries 15 a segment of DNA encoding the Tn10 tetracycline resistance gene (surrounded by the IS10 elements required for transposition). The phage also carries the Tn10 transposase protein that is required to catalyze random transposition. When *supO* *E. coli* are infected with λ :1098, tetracycline 20 resistant bacteria can be selected that contain a copy of the tet resistance gene located somewhere on the chromosome. (Note: The stock of λ :1098 can be prepared on a *supE* *E. coli* since suppression of the amber mutations in the λ genes will permit replication and subsequent lysis of this host. 25 The λ :1098 insertions are generated by infection of 100 μ l of logarithmically growing *E. coli* with 10^8 phage particles; since the transposition frequency is 10^{-4} to 10^{-5} , approximately 10^3 - 10^4 tetracycline resistant colonies can be obtained using this system. When a greater number of random 30 Tn10 insertions were desired, multiple infections were carried out.

The 4 potential mutants described in section 5.1. above were in a CAG kan^R prototrophic background. Therefore, it was necessary to "transfer" all chromosomal segments from these 35 four to an appropriate derivative of XL2-Blue (XL1-MRA) where screening could be repeated. The random transposition mapping scheme was used to introduce the tet resistance gene

randomly throughout the *E. coli* chromosome. The random transposition method required that the cells be non-suppressing (*sup*⁰) and tetracycline sensitive.

Each of the four potential mutants were subjected to the
5 random transposition of the tetracycline resistance gene, and
approximately 5,000 colonies were collected. If
transposition were random, 50 insertions would statistically
represent 1 insertion/100 kilobase pairs on the *E. coli*
genome (the reason for using the 1 insertion/100 kb will be
10 explained below). However, we selected approximately 5,000
Tet^R derivatives to ensure that all regions of the pool were
represented and could be retrieved during generalized
transduction.

15 5.4. Preparation of P1 Lysates.

P1 phage lysates were prepared as described in Miller (1995). A 5 ml culture of the strain of interest was grown to mid-log phase at 37°C in LB supplemented with 5 mM CaCl₂. 1 ml of cells were pipetted into a 12 ml Falcon 2059 tube and 1 μ l of high titer P1 stock (10⁸ - 10⁹ pfu/ml; prepared on JM101) added. For most stocks, infections were done in quadruplicate and combined. The phage and cells were incubated at 37° C for 15-20 minutes without shaking. The lysate was then plated by adding 2.5 ml of top agar (supplemented with CaCl₂ to 5 mM) to the sample poured onto an NY plate and incubated at 37° C overnight. The following morning, the top agar was scraped into a 12 ml centrifuge tube. The plates were washed with 1 ml of LB and the remaining agar/slurry added to the tube. 5 drops (dispensed with a pasteur pipette) of chloroform were then added to the tube and suspension was vortexed (10-20 seconds on highest setting). The samples were incubated at room temperature for 15 minutes, then centrifuged at 5,000 rpm in a Sorvall centrifuge (4°C) for 15 minutes. The phage containing supernatant was recovered and transferred to a new 12 ml centrifuge tube, capped tightly, and stored at 4° C.

5.5. P1 Transduction.

P1 transduction was performed as described by Miller (1995). 5 ml of the recipient strain was grown overnight in LB media supplemented with 5 mM CaCl₂. The stationary culture 5 was harvested by centrifugation in a Sorvall Centrifuge at 5,000 rpm for 5 minutes and suspended in an equal volume of MC buffer (100 mM MgSO₄, 5 mM CaCl₂). The cells were placed back into a 37° C air shaker and incubated for 20 minutes, then centrifuged as before. The pellets were suspended in 10 500 µl volume MC buffer; 100 µl of the cell suspension was then transferred to a 12 ml Falcon centrifuge tube. 1 to 100 µl of the P1 lysate (the amount varied depending on the individual titer; accordingly, for each transduction 1, 5, 25, and 100 µl were tested) was added to each tube. One 15 sample was always left untreated as a control. The P1 infected cells were incubated at 37 °C for about 15-20 minutes. The reaction was terminated by the addition of 200 µl of 1 M sodium citrate. 0.5 ml of LB was added to each sample and cells were grown at 37°C for one hour to allow 20 expression of the drug resistance gene (tetracycline resistance in the experiments described here). After expression, the entire contents of the tube plated on LB or NZY plates with the appropriate antibiotic.

Retrieval of the tetracycline resistance genes (and thus, 25 random regions of the four *E. coli* genomes discussed in section 5.3. was accomplished by P1 transduction. P1 is a bacteriophage that upon infection of a sensitive *E. coli* strain, replicates its DNA, packages it, eventually causes host cell lysis and death. 99% of all packaged particles are 30 infective P1 particles, and 1% are random 100 kb pieces of host cell DNA (i.e., *E. coli* chromosomal DNA) that are packaged in error. When these lysates are used to infect a new host, 99% of these new cells are infected and killed and 1% become recipients for 100 kb segments of *E. coli* genomic 35 DNA from the previous strain. If the lysate was prepared on an *E. coli* strain that has an integrated selectable marker (like the Tn10 tet resistance gene), and the recipient is

capable of homologous recombination, then a chimeric *E. coli* strain can be generated that contains this 100 kb region from the P1-grown host in place of its own. In this manner, by 5 pooling the 5,000 tet^R resistant colonies from the mutant pool and transferring them to a new strain (XL1-MRA, a *recA*⁺, F⁻ version of XL2-Blue), random chromosomal regions from the higher transforming pool were selected. Approximately 5,000 tet^R transductants were selected for each of the four mutants, pooled, and subject to the transformation enrichment 10 experiments described above. 1 ng of pACYC177 (kan^R) was used to transform each pool, and 300-500 transformants were subsequently pooled and transformed with 1 ng of pAL205 (Chloramphenicol resistant) and 50-150 colonies selected. These 50-150 colonies were then pooled and transformed with 15 pUC, and 20-40 of the resulting colonies were again pooled. These three further rounds of enrichment were performed in order to screen for the Tet^R transposons that lie adjacent to the mutation rather than Tet^R transposons that integrated elsewhere. The 20-40 transformants were then infected with 20 P1 and a new lysate was prepared that should contain the Tet^R gene adjacent to a segment that provides for improved transformation efficiency.

These four P1 lysates were then transduced into XL1-Blue MR carrying pJC859. This plasmid is a pBR322 derivative 25 harboring the *E. coli* *recA*⁺ gene (necessary for P1 transduction to proceed) and individual transductants were streaked and analyzed for transformation efficiency compared with parental XL1-Blue containing pJC857 (Table 1).

From each of the four P1 pools identified, a single 30 colony was chosen that exhibited an increase in transformation efficiency. These mutants were designated 7, 12, 33, and 59, to correspond to the original mutants isolated.

4670500-96691830

TABLE 1: COMPARISON OF THE TRANSFORMATION EFFICIENCIES OF POTENTIAL MUTANTS AND THE PARENTAL STRAIN

HOST	NUMBER OF TRANSFORMANTS
#7	168
5 #12	190
#33	140
#59	154
XL1MR/pJC857	104

5.6. Transferring potential mutations into XL1-BLUE background

10 XL1-MR/pJC859 is a F- derivative of XL1/XL2 blue that contains a plasmid expressing the *E. coli* RecA⁺ gene product. In order to convert this strain to an isogenic version of XL2-Blue, it was necessary to remove the RecA⁺ plasmid from the cell. This was accomplished by an extended 15 growth in LB Tet (no ampicillin selection) for 50 - 100 generations and screening single colonies for ampicillin sensitivity. At least one of each was identified for the four mutants; approximately 100-500 individual colonies were 20 screened in order to isolate the ampicillin sensitive cell of interest.

Each of the four *E. coli* mutants were then used as 25 recipients for F' conjugal mating with the F' amylase episome of XL2-Blue. Small scale competent cell preparations were then made on these exconjugants and transformation efficiencies using pUC and pRK2013 (a 25 kb plasmid) were determined (see Table 2). The strain that exhibited the highest relative efficiency with both pUC and pRK2013 (Mutant #12) was then used for large scale preparations.

30

35

TABLE 2: TRANSFORMATION WITH SMALL AND LARGE SUPERCOILED PLASMID DNA MOLECULES

STRAIN	EFFICIENCY/µg pUC	EFFICIENCY/µg pRK2013
XL10-GOLD	5 x 10 ⁹	3.6 x 10 ⁴
5 XL2-Blue	5 x 10 ⁹	6.2 x 10 ³
SCS-1	1 x 10 ⁹	7.6 x 10 ²
DH5 α	1 x 10 ⁹	6.2 x 10 ²

10 The transformation efficiencies shown in Table 2 were determined as follows. 100pg pUC or 500 ng of pRK2013 were added to 100 μ l of competent cells and transformed following the standard protocol. Aliquots (0.1%, 1%, 10%, etc.) of each transformation mix were then plated on the appropriate selection plates to evaluate the transformation efficiency.

15 20 These data show that although the relative transformation efficiency for a small plasmid (pUC) remained unchanged compared with XL2-Blue, the efficiency of transformation with the 25 kb pRK2013 plasmid was dramatically increased in XL10-GOLD. The observed increase in the transformation efficiency of large plasmids is a particularly useful feature of cells harboring the *Hte*⁻ mutation.

Subsequent transduction studies have shown that when the *Hte*⁻ region from XL10-GOLD was transferred into a heterologous strain of *E. coli*, an approximately six-fold enhancement of 25 transformation efficiency was observed. Given that P1 transduction may be used to transfer the *Hte* region between various hosts, the *Hte* region may be further characterized as located within that region of DNA that lies within 100 kb of the transposon encoded tet gene incorporated into XL10-GOLD.

30

5.7. Optimization of transformation.

35 The addition of certain compounds to competent cells 10 minutes prior to addition of DNA may dramatically improve transformation. For the cell line that performed best above, transformation efficiency was optimized by making matrices of the two compounds present in the current UltraBeta. Both pUC

X67050-1956978803

and pRK2013 were employed as substrates and the optimal concentration of each component was determined. Further examples of such optimization parameters include, *inter alia*, the use of a 110 mM NaCl solution, and 50 mM 2-5 mercaptoethanol.

5.8. Analysis of Other Features of Competent Cells.

Other features of competent cells that are necessary to be present in any improved version are the ability to 10 perform blue-white color screening (presence of F' lacIqZAM15), the lack of known restriction systems (Hsd⁺, McrBCF⁻, McrA⁻), and the lack of the EndA1 nuclease that is responsible for interfering with miniprep DNA isolations. These features were tested for the #12 mutant. The cells 15 maintained all of these characteristics. Therefore, mutant 12 was used to pursue further experiments evaluating its utility for PCR cloning and library construction.

5.8.1. Efficiency with ligated DNA

20 In general, ligated DNA transforms with significantly lower efficiency than that of supercoiled DNA. To evaluate this difference and to determine whether the mutant 12 variant was better able to transform ligated molecules as compared to existing strains of *E. coli* the 25 following experiment was performed. Ten ng of pCAL n-EK plasmid DNA was prepared for ligation independent cloning (LIC, Stratagene catalog #214310) following the directions provided. A kanamycin resistance gene was PCR amplified using primers containing compatible LIC ends and the 30 amplified product was treated as directed to produce the appropriate compatible ends. These DNAs were annealed following suggested conditions for LIC. An aliquot of the annealed DNA mixture was then used to transform mutant 12 (hereafter referred to as XL10-GOLD) AND DH5 from Life 35 Technologies. The number of colony forming units (cfu) were compared with the number observed when supercoiled pUC DNA was the substrate. The data demonstrated that the number of

cfu for the annealed (i.e., ligated) DNA was 20 fold greater for XL10-GOLD compared to DH5, and the pUC efficiency was 5 fold greater. This enhancement of transformation for ligated DNA molecules is a significant difference between these 5 strains.

A second series of ligations were then prepared using plasmids pADGal4 (7.6 kb) and pCMV-Script (4.3 kb) as vectors. These plasmids were digested with EcoRI and XhoI. Approximately 10 ng of a mouse brain cDNA library (prepared 10 using Stratagene's cDNA synthesis kit) was ligated to 30 ng of pCMV-Script (or 60 ng of pADGal4). The ligation reactions proceeded overnight at 4°, C and were subsequently transformed into XL10-GOLD, DH10B, and XL2-Blue. Data for both experiments are shown in Table 3. The results indicated 15 that XL10-GOLD, which was approximately 5 fold better at transforming pUC DNA, and was about 20-40 fold better at transforming the ligated (i.e., larger) DNA molecules than was DH10B. The observed difference is very significant in cloning applications such as cDNA library construction or PCR 20 cloning, and represents another of the particularly useful features of cells harboring the *Hte* mutation.

TABLE 3: Transformations With cDNA Libraries In Two Plasmid Vectors

25	pUC	pADGal4	pCMV-Script
DH10B	1.0 X 10 ⁹	2.0 X 10 ³	2.3 X 10 ⁴
GOLD	5.0 X 10 ⁹	5.0 X 10 ⁴	4.0 X 10 ⁵
XL2-Blue	5.0 X 10 ⁹	1.0 X 10 ⁴	8.0 X 10 ⁴

30 Library 1: pADGal4, a pBluescript derived plasmid (7.6 kb) was digested with EcoRI and XhoI. 60 ng of the digested vector were ligated to 10 ng of cDNA as above.

Transformations were performed in the same manner and the 35 number of Ampicillin resistant transformants were counted.

Library 2: pCMV-Script, a pBluescript derived plasmid (4.3 kb) was digested with EcoRI and XhoI. 30 ng of the

digested vector were ligated to 10 ng of cDNA that was prepared by a standard protocol. The DNA was ligated overnight at 4° C in a 10 μ l volume and 1 μ l was added to competent cells from the indicated strains.

5 The specifics of the preferred method of transforming XL10-GOLD is as follows:

1. Aliquot 100 μ l of cells in a Falcon 2059 tube.
2. Add β -mercaptoethanol to a final concentration of 50 mM (2 μ l).
- 10 3. Add NaCl to a final concentration of 110 mM (2 μ l).
4. Incubate tubes on ice for 10 minutes.
5. Add DNA.
6. Incubate on ice for 30 minutes.
7. Heat pulse at 42°C for 30 seconds.
- 15 8. Incubate tubes on ice for 2 minutes.
9. Add 0.9 ml of prewarmed NZY media and incubate at 37°C for 60 minutes shaking at 225-250 rpm.
10. Plate aliquots as desired and incubate overnight at 37° C.

20

Equivalents

All publications and patents mentioned in the above specification are herein incorporated by reference. The foregoing written specification is considered to be sufficient to enable one skilled in the art to practice the invention. Indeed, various modifications of the above-described modes for carrying out the invention which are obvious to those skilled in the field of molecular biology or related fields are intended to be within the scope of the 30 following claims.

35

Claims

What is claimed is:

1. A biologically pure strain of *E. coli* which is
5 characterized as comprising an *Hte* mutation and by more
efficient transformation with foreign plasmids than *E. coli*
that lack an *Hte* mutation.

2. A strain according to claim 1 that has been derived
10 from a strain having the identifying characteristics of ATCC
No 55962.

3. A method of preparing gram negative bacteria of
improved competence, said method comprising the steps of:
15 a) transferring a polynucleotide encoding an *Hte*-
region into gram negative bacterial cells; and
b) treating the cells from (a) with a competency
inducing procedure
whereby competent cells are produced.

20 4. A method according to claim 3, wherein said bacteria
is *E. coli*.

5. A method according to claim 3, wherein the
25 competency inducing procedure is a standard high competency
induction procedure employing the step of washing the cells
with a buffer comprising at least two of the group consisting
of potassium acetate, KCl, MnCl₂, CaCl₂, glycerol, rubidium
chloride, and hexamine cobalt chloride.

30 6. A method according to claim 4, wherein said *E. coli*
have the genotype $\Delta(mcrA)183\Delta(mcrCB-hsdSMR-mrr)173endA1$
 $supE44\ thi-1\ recA1\ gyrA96\ relA1\ lac\ tet^R\ Hte^*\{F'proAB$
 $lacI^qZ\Delta M15\ Tn10(Tet^R)\ Amy\ Cam^R\}$.

35 7. A method according to claim 3, said method further
comprising the step of freezing the competent cells.

8. Cells according to claim 1, wherein said cells have been rendered competent.

9. Competent cells according to Claim 8, wherein said 5 cells have been made competent by the standard high competency induction procedure employing the step of washing the cells with a buffer comprising at least one of the group consisting of potassium acetate, KCl, MnCl₂, CaCl₂, glycerol, rubidium chloride, and hexamine cobalt chloride.

10

10. Competent cells according to Claim 9, wherein said cells have been frozen.

11. Competent cells produced by the method of any one of 15 claims 3 through 7.

12. The use of cells according to claim 11 to clone or subclone heterologous genetic material of interest.

20 13. The use of cells according to any one of claims 1 or 2 to clone or subclone heterologous genetic material of interest.

25

30

35

ABSTRACT

The invention provided herein includes novel gram negative bacteria cells containing the *Hte* mutation. Other aspects of the invention include methods for rendering gram 5 negative bacterial cells bearing the *Hte* region, such as *E. coli* cells competent for DNA transformation using any of a variety of competency inducing procedures. The competent cells of the subject invention may be frozen so as to provide for prolonged storage.

10

15

20

25

30

35

**DECLARATION
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

HIGHLY TRANSFORMABLE BACTERIAL CELLS AND METHODS FOR PRODUCING THE SAME

and for which a patent application:

is attached hereto and includes amendment(s) filed on _____ (if applicable)
 was filed in the United States on _____ as Application No. _____ (for declaration not accompanying application)
 with amendment(s) filed on _____ (if applicable)
 was filed as PCT international Application No. _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoff (Reg. No. 20823), David Weld, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Reig (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaianni (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebele (Reg. No. 29258), Laura A. Cortioli (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balandia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), Samuel B. Abrams (Reg. No. 30605), Steven J. Wallach (Reg. No. 35102), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adrienne M. Antler (Reg. No. 32605), Ann L. Gisolfi (Reg. No. 31956), Saralynn Mandel (Reg. No. 31853), Mark A. Farley (Reg. No. 33170), and James G. Markay (Reg. No. 31636), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 2730 Sam Hill Road, Menlo Park, CA 94025, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: PENNIE & EDMONDS LLP 1155 AVENUE OF THE AMERICAS NEW YORK, N.Y. 10036-2711				DIRECT TELEPHONE CALLS TO: PENNIE & EDMONDS LLP DOCKETING (212) 790-2803	
2 0 1	FULL NAME OF INVENTOR	LAST NAME Greener	FIRST NAME Alan	MIDDLE NAME Lewis	
	RESIDENCE & CITIZENSHIP	CITY San Diego	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP USA	
	POST OFFICE ADDRESS	STREET 3892 Creststone Place	CITY San Diego	STATE OR COUNTRY California	ZIP CODE 92130
2 0 2	FULL NAME OF INVENTOR	LAST NAME Jerpseth	FIRST NAME Bruce	MIDDLE NAME Douglas	
	RESIDENCE & CITIZENSHIP	CITY San Diego	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP USA	
	POST OFFICE ADDRESS	STREET 7678 Pipit Place	CITY San Diego	STATE OR COUNTRY California	ZIP CODE 92129
2 0 3	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
2 0 4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
2 0 6	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201 Alan Lewis Greener	SIGNATURE OF INVENTOR 202 Bruce Douglas Jerpseth	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE